

# Stone Harbor

INVESTMENT PARTNERS

A Virtus Investment Partner



Stone Harbor Investment Partners is a division of  
Virtus Fixed Income Advisers, LLC,  
an SEC registered investment adviser.

## **BROCHURE SUPPLEMENT** (Part 2B of Form ADV) July 29, 2022

Principle Office:

31 West 52<sup>nd</sup> Street, 17<sup>th</sup> floor  
New York, NY 10019  
212-548-1200  
[www.shiplp.com](http://www.shiplp.com)

This brochure supplement provides information about supervised persons who provide investment advice for your investment strategies with Stone Harbor Investment Partners, a division of Virtus Fixed Income Advisers, LLC (“VFIA”), an SEC registered investment adviser. Registration as an investment adviser does not imply any level of skill or training. If you have any questions about the contents of this brochure supplement, please contact us at 212-548-1200. The information in this brochure supplement has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authority.

Additional information about Stone Harbor and VFIA also is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

*Virtus Investment Partners, Inc. (“Virtus”), a publicly traded firm (NASDAQ: VRTS) acquired Stone Harbor Investment Partners on January 1, 2022. Virtus is a partnership of boutique investment managers committed to the long-term success of individual and institutional investors. Virtus provides investment management products and services through its affiliated managers and select subadvisers, each with a distinct investment style, autonomous investment process, and individual brand.*

*On July 1, 2022, Virtus Investment Partners, Inc. (“Virtus”) reorganized its three fixed income subsidiaries, including Stone Harbor Investment Partners (“Stone Harbor”), to operate as separate divisions under a single legal entity named Virtus Fixed Income Advisers, LLC (“VFIA”). VFIA is a wholly owned subsidiary of Virtus and is an SEC registered investment adviser. The three divisions of VFIA, including Stone Harbor, maintain their distinct investment process and philosophy, portfolio management teams, investment culture and brand. They operate under the d/b/a names of: Stone Harbor Investment Partners, Newfleet Asset Management, Seix Investment Advisors.*

*This Brochure only provides information about relevant supervised persons at the Stone Harbor division of VFIA.*

## Information about professional designations described herein:

Certain supervised persons described in this brochure supplement hold the Chartered Financial Analyst (CFA) designation. In order to list this designation in the brochure supplement, we are required to provide an explanation of the minimum qualifications for the designation. To earn the CFA Charter, an individual must:

1. Become a CFA candidate and enroll in the CFA Program by meeting one of the following criteria:
  - a. have a bachelor's (or equivalent) degree,
  - b. be in the final year of a bachelor's degree program,
  - c. have four years of professional work experience, or
  - d. have a combination of professional work and university experience that totals at least four years.
2. Be prepared to take exams in English.
3. Have a valid international passport.
4. Meet the professional conduct admission criteria
5. Pass the Level I, II and III Exams.
6. Have four years of professional work experience in the investment decision-making process (accrued before, during, or after participation in the CFA Program).
7. Join CFA Institute as a regular member.

**Peter J. Wilby**  
**Stone Harbor Investment Partners**  
31 West 52nd Street,  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about Peter J. Wilby that supplements the Stone Harbor Investment Partners (“Stone Harbor”) brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Wilby is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

*Name:* Peter J. Wilby, CFA

*Year of Birth:* 1958

*Formal Education (after high school):*

- MBA, Accounting, Pace University
- BBA, Accounting, Pace University

*Business Background (previous 5 years):*

- Virtus Fixed Income Advisers, LLC: Vice Chairman, July 1, 2022 to present
- Stone Harbor Investment Partners\*: Co-Chief Investment Officer, December 2018-present; Chief Investment Officer, April 2006-December 2018

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Wilby is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. Wilby receives no direct compensation in his capacity as a registered representative. In addition, Mr. Wilby is registered with the National Futures Association as an associated person and is approved as an NFA associate member and principal in connection with VFIA’s status as a commodity pool operator for certain pooled vehicles it manages. Mr. Wilby serves as Chairman and Director to the Board of Directors for both Stone Harbor Investment Partners plc and Stone Harbor Global Funds plc, which are Irish funds, and for Stone Harbor Investment Partners Limited, which is the management company of Stone Harbor Investment Partners plc and the alternative investment fund manager of Stone Harbor Global Funds plc.

Stone Harbor was acquired by Virtus Investment Partners, Inc. effective January 1, 2022, and became a division of VFIA effective July 1, 2022. Stone Harbor continues to operate under its “d/b/a” name – “Stone Harbor Investment Partners”. Virtus, a publicly traded firm (NASDAQ: VRTS), is a partnership of boutique investment managers committed to the long-term success of individual and institutional investors. Virtus provides investment management products and

services through its affiliated managers and select subadvisers, each with a distinct investment style, autonomous investment process, and individual brand. In his role as Vice Chairman, Mr. Wilby may provide additional services to other divisions of VFIA, or one or more Virtus affiliates.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client's investment management agreement with Stone Harbor (and, in the case of funds, in the fund's offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. In addition, various members of Stone Harbor monitor the advice he provides to clients through regular Compliance Department and various oversight reviews of trading for adherence to client investment guidelines and Stone Harbor's internal policies and procedures. Mr. Wilby reports to George Aylward, CEO and President of Virtus Investment Partners, 860-263-4705.

**James Craige**  
**Stone Harbor Investment Partners**  
31 West 52nd Street  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about James Craige that supplements the Stone Harbor Investment Partners (“Stone Harbor”) brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Craige is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

*Name:* James Craige, CFA

*Year of Birth:* 1967

*Formal Education (after high school):*

- BS, Finance, University of Vermont

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\*: Co-Chief Investment Officer, December 2018-Present; Portfolio Manager, April 2006 - December 2018

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Craige is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. Craige receives no direct compensation in his capacity as a registered representative.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Craige and monitors the advice he provides to clients through regular Compliance Department and various reviews of trading for adherence to client investment guidelines and Stone Harbor’s internal policies and procedures. In addition, Mr. Craige attends regularly scheduled meetings with members of the investment team to review portfolio

management decisions and market events, including a monthly investment policy meeting. Mr. Craig serves as Co-Chief Investment Officer with Peter Wilby. The Co-Chief Investment Officers report to George Aylward, CEO and President of Virtus Investment Partners, 860.263.4705.

**Dan Berkery**  
**Stone Harbor Investment Partners**  
31 West 52nd Street  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about Dan Berkery that supplements the Stone Harbor Investment Partners (“Stone Harbor”) brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement.

**Item 2 - Educational Background and Business Experience**

*Name:* Dan Berkery, CFA

*Year of Birth:* 1967

*Formal Education (after high school):*

- AB, American History, Harvard University

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\*: Portfolio Manager

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Berkery is expected to serve in a dual-hatted capacity for one or more investment advisers that is affiliated with Stone Harbor through common ownership by Virtus, including, but not limited to, other advisory divisions at VFIA.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Berkery and monitors the advice he provides to clients through regular Compliance Department and various reviews of trading for adherence to client investment guidelines and Stone Harbor’s internal policies and procedures. The names and contact information for the persons responsible for supervising Mr. Berkery’s investment advisory activities are: Mr. David Torchia, the Head of Multi-Sector Strategies / Investment Grade, and



Mr. Peter J. Wilby and Mr. James Craige, the Co-Chief Investment Officers of Stone Harbor,  
212-548-1200.

Gerald Culmone  
Stone Harbor Investment Partners  
31 West 52nd Street  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about Gerald Culmone that supplements the Stone Harbor Investment Partners (“Stone Harbor”) brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Culmone is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

*Name:* Gerald Culmone

*Year of Birth:* 1964

*Formal Education (after high school):*

- BS, Finance, Rider College

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\*: Portfolio Manager

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Culmone is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. Culmone receives no direct compensation in his capacity as a registered representative.

Mr. Culmone is expected to serve in a dual-hatted capacity for one or more investment advisers that is affiliated with Stone Harbor through common ownership by Virtus, including, but not limited to, other advisory divisions at VFIA.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor

monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Culmone and monitors the advice he provides to clients through regular Compliance Department and various reviews of trading for adherence to client investment guidelines and Stone Harbor's internal policies and procedures. The names and contact information for the persons responsible for supervising Mr. Culmone's investment advisory activities are: Mr. David Torchia, the Head of Multi-Sector Strategies / Investment Grade, and Mr. Peter J. Wilby and Mr. James Craige, the Co-Chief Investment Officers of Stone Harbor, 212-548-1200.

**Kumaran Damodaran**  
**Stone Harbor Investment Partners**  
48 Dover Street  
London, England  
W1S 4FF  
+44 20 3205 4100

July 29, 2022

This brochure supplement provides information about Kumaran Damodaran that supplements the Stone Harbor Investment Partners (“Stone Harbor”) ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement.

**Item 2 - Educational Background and Business Experience**

*Name:* Kumaran Damodaran

*Year of Birth:* 1972

*Formal Education (after high school):*

- University of Cambridge, PhD, Theoretical Physics
- Princeton University, A.B in Physics with Certificate in Applied and Computational Mathematics

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\* / Stone Harbor Investment Partners (UK), LLP, Portfolio Manager

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

N/A

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Damodaran and monitors the advice he provides to clients through regular Compliance Department and various reviews of trading for adherence to client investment

guidelines and Stone Harbor's internal policies and procedures. The names and contact information for the persons responsible for supervising Mr. Damodaran's investment advisory activities are: Mr. Peter J. Wilby and Mr. James Craige, the Co-Chief Investment Officers of Stone Harbor, 212- 548-1200.

David Griffiths  
Stone Harbor Investment Partners  
48 Dover Street  
London, England  
W1S 4FF  
+44 20 3205 4100

July 29, 2022

This brochure supplement provides information about David Griffiths that supplements the Stone Harbor Investment Partners (“Stone Harbor”) brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement.

**Item 2 - Educational Background and Business Experience**

*Name:* David Griffiths

*Year of Birth:* 1964

*Formal Education (after high school):*

- BA, Economics and Statistics, Exeter University

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\* / Stone Harbor Investment Partners (UK), LLP, Portfolio Manager

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

By virtue of his position with Stone Harbor Investment Partners (UK), LLP, Mr. Griffiths is registered with the U.K. Financial Conduct Authority to perform a senior management function.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Griffiths and monitors the advice he provides to clients through regular Compliance Department and various reviews of trading for adherence to client investment guidelines and Stone Harbor’s internal policies and procedures. The names and contact information for the persons responsible for supervising Mr. Griffiths’s investment advisory

activities are: Mr. Peter J. Wilby and Mr. James Craige, the Co-Chief Investment Officers of Stone Harbor, 212-548-1200.

**Matthew Kearns**  
**Stone Harbor Investment Partners**  
31 West 52nd Street  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about Matthew Kearns that supplements the Stone Harbor Investment Partners (“Stone Harbor”) ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Kearns is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

*Name:* Thomas Matthew Kearns, CFA

*Year of Birth:* 1975

*Formal Education (after high school):*

- Duke University, BS, Economics and Political Science

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\*: Portfolio Manager, December 2018-Present; Global Industry Credit Analyst, April 2006 – December 2018

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Kearns is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. Kearns receives no direct compensation in his capacity as a registered representative.

Mr. Kearns is expected to serve in a dual-hatted capacity for one or more investment advisers that is affiliated with Stone Harbor through common ownership by Virtus, including, but not limited to, other advisory divisions at VFIA.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic



trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Kearns and monitors the advice he provides to clients through regular Compliance Department and various reviews of trading for adherence to client investment guidelines and Stone Harbor's internal policies and procedures. The names and contact information for the persons responsible for supervising Mr. Kearns's investment advisory activities are: Mr. David Torchia, the Head of Multi-Sector Strategies / Investment Grade, and Mr. Peter J. Wilby and Mr. James Craige, the Co-Chief Investment Officers of Stone Harbor, 212-548-1200.

Roger Lavan  
Stone Harbor Investment Partners  
31 West 52nd Street  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about Roger Lavan that supplements the Stone Harbor Investment Partners (“Stone Harbor”) brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Lavan is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

Name: Roger Lavan, CFA

Year of Birth: 1963

Formal Education (after high school):

- BS in Management, State University of New York at Geneseo
- MBA, Fordham University

Business Background (previous 5 years):

- Stone Harbor Investment Partners\*: Portfolio Manager

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Lavan is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. Lavan receives no direct compensation in his capacity as a registered representative.

Mr. Lavan is expected to serve in a dual-hatted capacity for one or more investment advisers that is affiliated with Stone Harbor through common ownership by Virtus, including, but not limited to, other advisory divisions at VFIA.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic

trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Lavan and monitors the advice he provides to clients through regular Compliance Department and various reviews of trading for adherence to client investment guidelines and Stone Harbor's internal policies and procedures. The names and contact information for the persons responsible for supervising Mr. Lavan's investment advisory activities are: Mr. David Torchia, the Head of Multi-Sector Strategies / Investment Grade, and Mr. Peter J. Wilby and Mr. James Craige, the Co-Chief Investment Officers of Stone Harbor, 212-548-1200.

David Oliver  
Stone Harbor Investment Partners  
31 West 52nd Street  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about David Oliver that supplements the Stone Harbor Investment Partners (“Stone Harbor”) brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Oliver is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

*Name:* David Oliver, CFA

*Year of Birth:* 1959

*Formal Education (after high school):*

- MBA, Finance, Tuck School of Business, Dartmouth College
- MA, American History, University of Delaware
- BA, American Culture, Northwestern University

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\*: Portfolio Manager

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Oliver is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. Oliver receives no direct compensation in his capacity as a registered representative.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Oliver and monitors the advice he provides to clients through regular Compliance Department and various reviews of trading for adherence to client investment

guidelines and Stone Harbor's internal policies and procedures. The names and contact information for the persons responsible for supervising Mr. Oliver's investment advisory activities are: Mr. Peter J. Wilby and Mr. James Craige, the Co-Chief Investment Officers of Stone Harbor, 212-548-1200.

William W. Perry  
Stone Harbor Investment Partners  
31 West 52nd Street  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about William Perry that supplements the Stone Harbor Investment Partners (“Stone Harbor”) brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Perry is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

*Name:* William W. Perry

*Year of Birth:* 1962

*Formal Education (after high school):*

- MBA in Finance from the Columbia Business School at Columbia University
- BA in International Relations and Economics from Colgate University

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\*: Portfolio Manager

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Perry is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. Perry receives no direct compensation in his capacity as a registered representative.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Perry and monitors the advice he provides to clients through regular Compliance Department and various reviews of trading for adherence to client investment guidelines and Stone Harbor’s internal policies and procedures. The names and contact information for the

persons responsible for supervising Mr. Perry's investment advisory activities are: Mr. Peter J. Wilby and Mr. James Craige, the Co-Chief Investment Officers of Stone Harbor, 212-548-1200.

Hunter Schwarz  
Stone Harbor Investment Partners  
31 West 52nd Street  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about Hunter Schwarz that supplements the Stone Harbor Investment Partners (“Stone Harbor”) ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Schwarz is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

Name: Hunter Schwarz

Year of Birth: 1980

Formal Education (after high school):

- Lehigh University, BS, Marketing

Business Background (previous 5 years):

- Stone Harbor Investment Partners\*: Portfolio Manager, December 2018-Present; Global Industry Credit Analyst, April 2006- December 2018

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Schwarz is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. Schwarz receives no direct compensation in his capacity as a registered representative.

Mr. Schwarz is expected to serve in a dual-hatted capacity for one or more investment advisers that is affiliated with Stone Harbor through common ownership by Virtus, including, but not limited to, other advisory divisions at VFIA.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor



monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Schwarz and monitors the advice he provides to clients through regular Compliance Department and various reviews of trading for adherence to client investment guidelines and Stone Harbor's internal policies and procedures. The names and contact information for the persons responsible for supervising Mr. Schwarz's investment advisory activities are: Mr. David Torchia, the Head of Multi-Sector Strategies / Investment Grade, and Mr. Peter J. Wilby and Mr. James Craige, the Co-Chief Investment Officers of Stone Harbor, 212-548-1200.

**Stuart Sclater-Booth**  
**Stone Harbor Investment Partners**  
31 West 52nd Street  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about Stuart Sclater-Booth that supplements the Stone Harbor Investment Partners (“Stone Harbor”) ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Sclater-Booth is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

*Name:* Stuart Sclater-Booth

*Year of Birth:* 1969

*Formal Education (after high school):*

- Bachelor of Economics, Vassar College
- Masters of Economics, Boston University

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\*: Portfolio Manager, June 2014 - present

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Sclater-Booth is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. Sclater-Booth receives no direct compensation in his capacity as a registered representative.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Sclater-Booth and monitors the advice he provides clients through periodic

Compliance Department reviews of client interaction for adherence to Stone Harbor's internal policies and procedures. The names and contact information for the persons responsible for supervising Mr. Sclater-Booth's investment advisory activities are: Mr. Peter J. Wilby and Mr. James Craige, the Co-Chief Investment Officers of Stone Harbor, 212-548-1200.

David Scott  
Stone Harbor Investment Partners  
48 Dover Street  
London, England  
W1S 4FF  
+44 20 3205 4100

July 29, 2022

This brochure supplement provides information about David Scott that supplements the Stone Harbor Investment Partners (“Stone Harbor”) brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement.

**Item 2 - Educational Background and Business Experience**

*Name:* David Scott

*Year of Birth:* 1961

*Formal Education (after high school):*

- Sc, Mathematics and Economics, Nottingham University

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\* / Stone Harbor Investment Partners (UK), LLP, Portfolio Manager

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Scott serves as a Director on the Board of Directors of Stone Harbor Investment Partners plc and Stone Harbor Global Funds plc. He is additionally a Director of Stone Harbor Investment Partners Limited, which is the management company of Stone Harbor Investment Partners plc and the alternative investment fund manager of Stone Harbor Global Funds plc. By virtue of his position with Stone Harbor Investment Partners (UK), LLP, Mr. Scott is registered with the U.K. Financial Conduct Authority to perform a senior management function.

Mr. Scott is expected to serve in a dual-hatted capacity for one or more investment advisers that is affiliated with Stone Harbor through common ownership by Virtus, including, but not limited to, other advisory divisions at VFIA.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with

Stone Harbor (and, in the case of funds, in the fund's offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Scott and monitors the advice he provides to clients through regular Compliance Department and various reviews of trading for adherence to client investment guidelines and Stone Harbor's internal policies and procedures. The names and contact information for the persons responsible for supervising Mr. Scott's investment advisory activities are: Mr. David Torchia, the Head of Multi-Sector Strategies / Investment Grade, and Mr. Peter J. Wilby and Mr. James Craige, the Co-Chief Investment Officers of Stone Harbor, 212-548-1200.

David Torchia  
Stone Harbor Investment Partners  
31 West 52nd Street  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about David Torchia that supplements the Stone Harbor Investment Partners (“Stone Harbor”) brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Torchia is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

*Name:* David Torchia

*Year of Birth:* 1959

*Formal Education (after high school):*

- MBA, Finance, Lehigh University
- BS, Industrial Engineering, University of Pittsburgh

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\*: Portfolio Manager

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Torchia is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. Torchia receives no direct compensation in his capacity as a registered representative.

Mr. Torchia is expected to serve in a dual-hatted capacity for one or more investment advisers that is affiliated with Stone Harbor through common ownership by Virtus, including, but not limited to, other advisory divisions at VFIA.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor

supervises Mr. Torchia and monitors the advice he provides to clients through regular Compliance Department and various reviews of trading for adherence to client investment guidelines and Stone Harbor's internal policies and procedures. The names and contact information for the persons responsible for supervising Mr. Torchia's investment advisory activities are: Mr. Peter J. Wilby and Mr. James Craige, the Co-Chief Investment Officers of Stone Harbor, 212-548-1200.

Mark Weiller  
Stone Harbor Investment Partners  
31 West 52nd Street, 17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about Mark Weiller that supplements the Stone Harbor Investment Partners (“Stone Harbor”) ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Weiller is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

*Name:* Mark Weiller

*Year of Birth:* 1962

*Formal Education (after high school):*

- BA International Relations, Syracuse University
- MBA Finance, Fordham University
- MPA International Economic Policy, Columbia University

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\*: Head of Distribution and Product Management, June 2020 – present
- M&G Investments, Investment Director of Emerging Markets, January 2019-May 2020
- New Sparta Asset Management, Managing Director, August 2015 - November 2018

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Weiller is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. Weiller receives no direct compensation in his capacity as a registered representative.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic



trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Weiller and monitors the advice he provides clients through periodic Compliance Department reviews of client interaction for adherence to Stone Harbor's internal policies and procedures. Mr. Weiller serves as the Head of Distribution and Product Management for the Americas, and his investment advisory activities are overseen by Jim Craige, Co-Chief Investment Officer of Stone Harbor, 212-548-1200.

John DiSpigno  
Stone Harbor Investment Partners  
31 West 52nd Street  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about John DiSpigno that supplements the Stone Harbor Investment Partners (“Stone Harbor”) brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. DiSpigno is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

*Name:* John DiSpigno

*Year of Birth:* 1970

*Formal Education (after high school):*

- Attended Baruch College

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\*, Client Relationship Manager and Product Management - Intermediary

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. DiSpigno is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. DiSpigno receives no direct compensation in his capacity as a registered representative.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. DiSpigno and monitors the advice he provides clients through periodic

Compliance Department reviews of client interaction for adherence to Stone Harbor's internal policies and procedures. The name and contact information for the person responsible for supervising Mr. DiSpigno's investment advisory activities is: Mr. Mark Weiller, Head of Distribution and Product Management for the Americas, 212-548-1130.

**Ben Huddleston**  
**Stone Harbor Investment Partners**  
31 West 52nd Street  
17th Floor  
New York, New York 10019  
(212) 548-1200

July 29, 2022

This brochure supplement provides information about Ben Huddleston that supplements the Stone Harbor Investment Partners (“Stone Harbor”) ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Jeffrey Scott at 212-548-1022 if you did not receive Stone Harbor’s brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Huddleston is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Item 2 - Educational Background and Business Experience**

*Name:* Ben Huddleston, CFA

*Year of Birth:* 1986

*Formal Education (after high school):*

- Lafayette College, B.A., International Affairs

*Business Background (previous 5 years):*

- Stone Harbor Investment Partners\*: Client Relationship Manager, November 2014 – present

*\*The employment history associated with Stone Harbor Investment Partners includes the individual’s entire history with the firm, from April 2006 until present. As of July 1, 2022, Stone Harbor operates as a division of Virtus Fixed Income Advisers, LLC (“VFIA”). Prior to July 1, 2022, Stone Harbor was organized as its own legal entity.*

**Item 3 - Disciplinary Information**

N/A

**Item 4 - Other Business Activities**

Mr. Huddleston is a registered representative of a broker dealer, VP Distributors, LLC (CRD# 3036). Mr. Huddleston receives no direct compensation in his capacity as a registered representative.

**Item 5 - Additional Compensation**

N/A

**Item 6 - Supervision**

Stone Harbor is required to manage client accounts in accordance with the investment guidelines and restrictions described in a client’s investment management agreement with Stone Harbor (and, in the case of funds, in the fund’s offering documents). Stone Harbor monitors adherence to these guidelines utilizing various mechanisms, including daily electronic trade monitoring and at least monthly manual Compliance Department review. Stone Harbor supervises Mr. Huddleston and monitors the advice he provides clients through periodic Compliance Department reviews of client interaction for adherence to Stone Harbor’s internal

policies and procedures. The name and contact information for the person responsible for supervising Mr. Huddleston's investment advisory activities is: Mr. Mark Weiller, Head of Distribution and Product Management for the Americas, 212-548-1130.