

Stone Harbor Investment Partners Modern Slavery Statement

Stone Harbor Investment Partners, a division of Virtus Fixed Income Advisers, LLC (“VFIA”) together with Virtus International Management, LLP (collectively, “Stone Harbor” or “we”) is committed to taking appropriate steps to ensure there is no slavery and human trafficking in our own business or supply chains.

This statement, which is published pursuant to section 54(1) of the Modern Slavery Act 2015, describes the steps that we take to ensure that slavery and human trafficking is not taking place in our supply chains, or in any part of our own business.

Our structure

Stone Harbor is an emerging markets debt investment manager that manages assets for institutional clients globally. Stone Harbor has locations in New York, London, and Singapore. As of July 1, 2022, Stone Harbor became a division of VFIA, an SEC registered investment adviser and indirect wholly owned subsidiary of Virtus Investment Partners, Inc. (NASDAQ: VRTS).

Our supply chains

As an investment management firm, Stone Harbor has relatively simple supply chains. Our principal suppliers include:

- technology service providers that provide us with the software, equipment, and other technology solutions we need to deliver investment management services to our clients;
- providers of professional services, such as insurers, professional advisers (legal, regulatory, audit, etc.) and recruitment agencies; and
- businesses which support our day-to-day operations and help us to run our premises, such as facilities management services (cleaning and security providers), couriers and printing services.

We believe our operations and supply chains to be at low risk of modern slavery and human trafficking by virtue of our industry.

Our policies and procedures on slavery and human trafficking

We are committed to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective systems and controls to ensure slavery and human trafficking are not taking place in any part of our business or supply chains.

We adhere to all applicable employment laws relating to our employees’ terms and conditions, including pay, and all our employees are paid at least any applicable minimum wage. We expect all our suppliers to conduct their own businesses in a manner which is both lawful and ethical, including adopting good business practices that prevent and eliminate modern slavery and human trafficking. We have robust vetting procedures in place for selecting suppliers.

VFIA’s whistleblowing policy ensures that our staff can raise concerns they have (including, but not limited to, slavery or human trafficking) confidentially with the comfort of knowing that such concerns will be dealt with appropriately.

Approved and signed by:

A handwritten signature in black ink, appearing to read "Jeffrey Scott". The signature is written in a cursive, flowing style.

Jeffrey Scott, Chief Compliance Officer

Revised July 2024